

**TO BE COMPLETED BY CLIENTS LOOKING TO PURCHASE SECURITIES**

Customer Account Number

Title  Mr.  Mrs.  Ms.  Dr.  Other:

Full Name

**Customer Identification**

Enclosed Identification Copies (any one of the following)	<input type="checkbox"/> National ID Card	<i>Kindly enter the identification numbers for the ID's you have supplied</i>	National ID #	
	<input type="checkbox"/> Driver's License		Driver's License #	
	<input type="checkbox"/> Passport		Passport #	

**Investment Information**

**Risk Tolerance**

- Conservative** - preserving principal and minimizing risk are most important
- Moderate** - seeking the highest possible return consistent with a moderate level of risk
- Aggressive** - seeking a high total return regardless of the risk involved

**Investment Objectives**

- Capital Appreciation
- Income

**Investment Horizon**

- Short term (1 - 2 years)
- Medium term (3 - 5 years)
- Long term (Over 5 years)

**Investment Experience Level**

- Beginner
- Intermediate
- Savvy (No. of years experience)

**Income Bracket**

- Less than \$18,000
- \$18,000 - \$48,000
- \$48,000 - \$120,000
- \$120,000 - \$240,000
- Over \$240,000